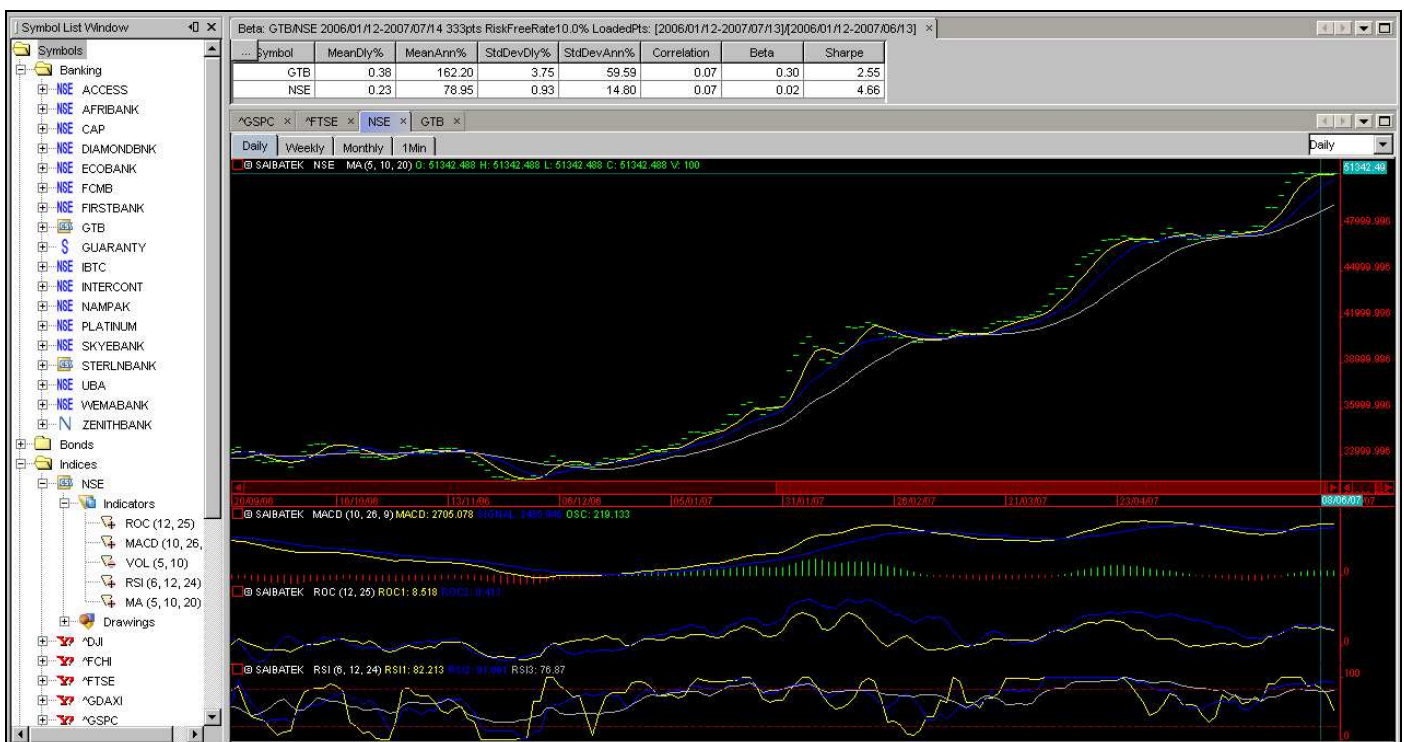


Financial Markets Overview

Training Programme for Professionals

Course Outline



July 2015

Financial Markets Overview

Training Programme for Professionals

*A Highly Interactive & Participative Course for a broad understanding of the Financial Markets.
It covers the various roles of Investment Bankers, Asset Managers, Stock Brokers, Operations, etc.
It also discusses many of the vanilla and derivative products available in today's markets.*

Session 1: Introduction to Financial Services - What and Why?

- Definition
- Analogy
- Benefits and Importance of Markets
- Examples, Market Operators, Capital flows

Session 2: Investment Banking & Asset Management Roles

- Corporate Finance
- Mergers & Acquisitions
- Underwriting
- Asset, Funds, Investment Management
- Securities Trading & Sales
- Research, Risk Management, and Other Roles

Session 3: Business Units & Information Flows

- Front Office
- Middle Office
- Back Office

Session 4: Vanilla Financial Products

- Equities
- Bonds, Money Markets
- FX

Session 5: Some Advanced Financial Products

- Indices
- Derivatives: Futures, Options, Swaps & Other Advanced Products
- Pooled Funds: REIT products, Mutual Funds
- Hedge Funds

Session 6: Technology, Regulation, & Global Trends

- Automated Trading - ECNs, FIX Protocol
- Straight-Through Processing STP
- Regulatory Capital

Session 7: Case Study

- How a deal works from front-end to back-end.